



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bishun N. Khare and Meyya Meyyappan

Serial No: 10/828,524

Filed: 04/05/2004

For: "Improved Functionalization of Carbon Nanotubes"

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMPLETION OF FILING REQUIREMENTS  
--NONPROVISIONAL APPLICATION**

1. This response replies to the Notice to File Missing Parts of Nonprovisional Application and the Filing Receipt mailed June 30, 2004.  
☒ A copy of the Notice to File Missing Parts of Nonprovisional Application is enclosed.
2. **Declaration or Oath**  
☒ The declaration/power of attorney that was filed was determined to be defective. A new original declaration/power of attorney is attached.

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Certificate of Mailing/Transmission (37 CFR 1.8(a))

I hereby certify that this correspondence comprising:

One Return Postcard  
Missing Parts Transmittal/Fee Sheet/Petition to Extend Time/Mailing Certificate (3 pages, in duplicate)  
New Fully Executed Declaration/Power of Attorney (2 pages)  
Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages)  
Replacement Drawings (7 sheets, including figs. 1-10)  
Request for Corrected Filing Receipt (1 pages)  
Copy of the official filing receipt with marked-up corrections (2 pages)

is, on the date shown below, being mailed via the USPS or transmitted by facsimile to Mail Stop Missing Parts,  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date:

12/22/2004

Signature

Vickie L. Kent  
Vickie L. Kent

3. **Corrected Drawings**

- ☒ Applicant submits a full set of new drawing(s) for this application.

Number of sheets of drawings submitted 7, including figs. 1-10.

4. **Request for Corrected Filing Receipt**

- ☒ Issuance of a corrected filing receipt is requested.
- ☒ A Request for Corrected Filing Receipt is attached
- ☒ A Copy of the official filing receipt with marked-up corrections is attached.

5. **Completion Fees**

- ☐ late payment of filing fee

and/or

- ☒ late filing of original declaration or oath \$ 130.00  
[37 CFR 1.16(e) --\$130.00]

**Total Completion fees** \$ 130.00

6. **Extension of Time**

The proceedings herein are for a patent application, and the provisions of 37 CFR 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)(1)-(5), for the total number of months checked below:

- ☒ Applicant is other than a small entity

Extension  
(months)

Fee for other than  
small entity

- |   |            |
|---|------------|
| <input type="checkbox"/> one month              | \$ 120.00  |
| <input type="checkbox"/> two months             | \$ 450.00  |
| <input type="checkbox"/> three months           | \$ 1020.00 |
| <input checked="" type="checkbox"/> four months | \$ 1590.00 |
| <input type="checkbox"/> five months            | \$ 2160.00 |

**Extension Fee** \$ 1590.00





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,524	04/06/2004	Bishun N. Khare	ARC-14661-2

25186  
NASA AMES RESEARCH CENTER  
ATTN: PATENT COUNSEL  
MAIL STOP 202A-4  
MOFFETT FIELD, CA 94035-1000



CONFIRMATION NO. 4320

## FORMALITIES LETTER



\*OC000000013111986\*

Date Mailed: 06/30/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37.CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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01 FC:105I 130.00 DA

*A copy of this notice **MUST** be returned with the reply.*

*N. Mohammed*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE